



## STATUTORY FAIS DISCLOSURE

Statutory Disclosure in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002 ('FAIS').

| Name of FSP         | IG Markets South Africa Limited                  |
|---------------------|--|
| Trading as          | IG/CFD Trader                                    |
| FSP License Number  | 41393  |
| Registration number | 2010/000229/10                                   |
| Physical Address    | The Place, 1 Sandton Drive, Sandton 2196         |
| Postal Address      | Box 11, The Place, 1 Sandton Drive, Sandton 2196 |
| Telephone           | 010 344 0053                                     |
| Fax number          | 086 639 4477                                     |
| Email               | helpdesk.za@ig.com                               |
| Website             | www.ig.com/za                                    |

## AUTHORISED IN RESPECT OF ADVISORY AND INTERMEDIARY SERVICES FOR THE FOLLOWING PRODUCTS

| Category Description                               | Advice | Intermediary |
|--|--------|--------------|
| CATEGORY I   |        |              |
| Securities and Instruments: Shares                 | Х      | X            |
| Securities and Instruments: Derivative instruments | X      | X            |

## Please take note of the additional disclosures below:

IG is a trading name of IG Markets Ltd and IG Markets South Africa Limited. International accounts are offered by IG Markets Limited in the UK (FCA Number 195355), a juristic representative of IG Markets South Africa Limited. Kevin Algeo is the Key Individual of IG Markets South África Limited. IG Markets South África Limited is a wholly owned subsidiary of the IG Group.

IG provides execution only services and enters into principal to principal transactions with its clients on IG's prices. Such trades are not on exchange. Whilst IG is a regulated FSP, CFDs issued by IG are not regulated by the FAIS Act as they are undertaken on a principal-to-principal basis.

- Conflicts of Interest: IG has a conflicts policy which can be viewed on the website.
- Complaints: If you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint. Our complaints process is available on the website. You may additionally contact the compliance department via email on compliance@ig.com . If you are unsatisfied with the internal process, you may escalate the process to the FAIS Ombud or if still unsatisfied to the Registrar at the Financial Services Board.
- Compliance: Moonstone Compliance (Pty) Ltd is IG's compliance practice on record and is represented by: Sashika Adsetts. Moonstone Compliance can be contacted at: 25 Quantum Street, Technopark Stellenbosch, South Africa. Fax: (021) 883 8005; Tel: (021) 883 8000; www.moonstoneinfo.co.za

| THE FAIS OMBUD   |  |  |
|------------------|--|--|
| Postal address   | P.O. Box 74571, Lynnwood Ridge, 0040   |  |
| Telephone        | +27 12 762 5000 / +27 12 470 9080  |  |
| Fax number       | +27 86 764 1422 / +27 12 348 3447  |  |
| Physical Address | Sussex Office Park<br>Ground Floor, Block B<br>473 Lynnwood Road Cnr Lynnwood Road & Sussex Ave,<br>Lynnwood, 0081 |  |
| Email            | info@faisombud.co.za   |  |
| Web Site         | www.faisombud.co.za  |  |

- The business holds professional indemnity cover arranged with Arthur J. Gallagher (UK) Limited as prescribed by FAIS
- As an authorised Financial Services Provider we may not request or induce in any manner a client to waive any right or benefit conferred on the client by or in terms of any provision of the General Code of Conduct, or recognise, accept or act on any such waiver by the client

HARENETCFDs IN ASSOCIATION WITH IG MARKETS SOUTH AFRICA LIMITED 4 Silverwood Close, Steenberg Office Park, Steenberg Boulevard, Steenberg, Cape Town, South Africa, PO Box 20584, Tokai, 7966

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SharenetCFDs in association with IG Markets South Africa Limited, Statutory FAIS Disclosure, December 2017