

## PERSONAL INFORMATION

Please complete all details. Our regulator requires that we obtain this information from you, and we are unable to open your account without it.

### 01 | PERSONAL DETAILS

Surname: \_\_\_\_\_

Forenames: \_\_\_\_\_

Title (Mr/Mrs/Ms/Other): \_\_\_\_\_ Date of birth: \_\_\_\_\_ Postcode: \_\_\_\_\_

Home address: \_\_\_\_\_ Country: \_\_\_\_\_

### 02 | CONTACT NUMBERS

Main contact number (mandatory): \_\_\_\_\_ Email address (mandatory): \_\_\_\_\_

### 03 | TAX STATUS

**Please could you confirm:**

The country or countries where you are a tax resident: \_\_\_\_\_

Whether you are a US citizen?  Yes  No

A US citizen has been defined by the IRS as: an individual born in the United States; an individual born in Puerto Rico, Guam or the US Virgin Islands; a former alien who has been naturalised as a US citizen or an individual whose parent is a US citizen. For further information please visit [www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons](http://www.irs.gov/Individuals/International-Taxpayers/Foreign-Persons)

Your taxpayer identification number (TIN) if you are a US citizen or a tax resident in the US, the UK Crown Dependencies (Jersey, Guernsey, and the Isle of Man), or Gibraltar: \_\_\_\_\_

### 04 | EMPLOYMENT DETAILS

Employment Status:  Employed  Self-Employed  Retired  Unemployed

Occupation: \_\_\_\_\_ Industry: \_\_\_\_\_

### 05 | FINANCIAL DETAILS

Approx. annual income before tax: **R** \_\_\_\_\_   
Approx. value of savings and investments (excluding property): **R** \_\_\_\_\_

Source of funds used for trading with IG:  Employment  Inheritance  Investment  Other (please specify)

Other: \_\_\_\_\_

### 06 | STATEMENT INSTRUCTIONS

We will send statements for your domestic (on-shore) account by email. In the absence of other instructions from you, we will also send statements for your international (off-shore) account by email. If you would prefer to receive these by post, please tick this box:

Please note that postal statements will incur a postal charge of £5 per statement.

## YOUR KNOWLEDGE AND EXPERIENCE

Please complete the following section so we can assess the appropriateness of our services for you. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for you. If this is the case, we will contact you to discuss further options.

1. To what extent over the past three years have you traded the following?

Shares and/or bonds	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never
Exchange-traded derivatives (eg warrants, futures or options)	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never
OTC derivatives (eg CFDs, forex, binaries)	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never

2. How have you mostly traded these products?  Execution-only and/or Advisory  Managed

3. Do you have particular experience or qualifications which would assist your understanding of our services?  Yes  No

If yes:

Occupational experience: I have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: I have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or my education or relevant training

## DECLARATIONS

- Consent to credit references:** I consent to you making enquiries about my credit record with any credit reference agency and any other party to confirm the information provided by me in this application. I also consent to you providing credit reference agencies with regular updates about the conduct of my accounts, including my failure to meet any agreed terms and conditions. I also agree that the credit reference agencies may, in turn, make my records and details available to other credit grantors. I further consent to you carrying out identity and fraud prevention checks and sharing information only as necessary and only in relation to this application and my subsequent account(s)
- Risks:** I confirm that I understand the nature and risks of margin trading via CFDs and have read both the South African Risk Disclosure Notice (available at IG.com) for domestic (on-shore) accounts and the UK Risk Disclosure Notice (available at IG.com) for international (off-shore) accounts
- Agree to terms and conditions:** I confirm that I have read, understand and agree to be bound by the following terms and conditions and I consent to such terms and conditions being provided to me by way of IG's websites:
  - (a) South African Margin Trading Customer Agreement (which is made available to me at IG.com), which will govern the operation of my domestic (on-shore) account; and
  - (b) UK Margin Trading Customer Agreement, Summary Order Execution Policy and Summary Conflicts Policy (which is made available to me at IG.com), which will govern the operation of my international (off-shore) account.
- Age:** I confirm that I am aged 18 years or over and that the information provided by me in this application is true and correct

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### ALL APPLICATIONS MUST BE ACCOMPANIED BY THE FOLLOWING:

- (1) A CLEAR COPY OF YOUR GREEN-BARCODED IDENTITY DOCUMENT (OR A VALID PASSPORT IF YOU ARE A NON-SOUTH AFRICAN CITIZEN)
- (2) A PROOF OF BANK IN THE FORM OF A BANK STATEMENT THAT IS NOT OLDER THAN THREE MONTHS (THIS PROOF OF BANK MUST BE FROM THE ACCOUNT THAT YOU INTEND TO USE TO DEPOSIT FUNDS)
- (3) A PROOF OF ADDRESS (A UTILITY BILL OR SIMILAR DOCUMENT).

EACH DOCUMENT SHOULD SHOW YOUR NAME, A FULL RESIDENTIAL ADDRESS THAT MATCHES THE ADDRESS ON YOUR APPLICATION FORM AND BE DATED WITHIN THE LAST THREE MONTHS.

SHARENETCFDs IN ASSOCIATION WITH IG MARKETS SOUTH AFRICA LIMITED  
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Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us

SharenetCFDs in association with IG Markets South Africa Limited,  
Application to Open a Margin Trading Account (Personal), July 2014

IG provides execution only services and enters into principal to principal transactions with its clients on IG's prices. Such trades are not on exchange. Whilst IG is a regulated FSP (number 41393), CFDs with IG are not regulated by the FAIS Act and IG does not provide advisory or intermediary services.

SharenetCFDs in association with IG Markets South Africa Limited and IG Markets Limited  
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