

**CERTIFIED BOARD RESOLUTION**

I (Name): \_\_\_\_\_

Company Secretary/Director of (Name of Company): \_\_\_\_\_ (the '**Company**') \_\_\_\_\_

certify that the following resolutions were duly passed by the Directors of the Company at a meeting held on

Date: \_\_\_\_\_

**IT WAS RESOLVED AS FOLLOWS:**

1. That account(s) (the '**Account(s)**') be opened in the name of the Company for the purpose of entering into Contracts For Differences (CFDs) and any transactions related or ancillary to any of the contracts with Sharenet CFDs in association with IG Markets South Africa Limited.
2. That an agreement be entered into in connection with the opening of the Accounts in such form as Sharenet CFDs in association with IG Markets South Africa Limited shall require (the '**Agreement**') and that all transactions entered into by the Company shall be subject to the terms of the Agreement as amended from time to time.
3. That each of the persons whose names and specimen signatures appear below (the '**Authorised Signatories**') shall be and are hereby jointly and severally authorised to sign any document in connection with the opening or operation of the Account(s), including (but without limitation) the Agreement and any document creating, perfecting or relating to any mortgage, charge or encumbrance over the Company's assets and to give any oral or written instructions to us with respect to the Account(s) including (but without limitation) instructions to effect or otherwise enter into transactions with or on behalf of the Company.

(i) Print Name & Title: \_\_\_\_\_

Specimen Signature: \_\_\_\_\_

(ii) Print Name & Title: \_\_\_\_\_

Specimen Signature: \_\_\_\_\_

4. That any transactions of any description whatsoever previously entered into by the Company with or through us be and are hereby ratified and approved.
5. That these Resolutions be communicated to us and shall remain in force and that we shall be entitled to rely on the same until an amending resolution shall be passed and a copy certified by an officer of the Company shall have been received by us.

I further certify that there is no legal or other reason why the Company should not conduct this business.

Signature of Company Secretary/Director: \_\_\_\_\_ Date: \_\_\_\_\_

## SUPPORTING DOCUMENTATION

The following supporting documentation must accompany the Company's completed account Application Form:

- Copy of Certificate of Incorporation (form CM1 for a company or form CK1 or CK2 for a close corporation or CoR documentation)
- Copy of Notice of registered address (Notices of Registered address can be a CM22 or a certificate of confirmation for a company)
- 3 months bank statements OR latest financial statements (for credit approval purposes and must reflect funds available for trading)
- Recent bank statement confirming the bank details you will be using for funding your account
- Proof of shareholders document Please note: The shareholders register must show the number of shares authorised and issued by the company and must be stamped by a registered accountant or auditor. The details (name, address and number of shares allocated) of all the shareholders must show on the register
- Proof of directors (CM or CoR documentation) for a company
- Proof of trading address. This should be in the form of a utility bill that contains the physical business address of the company and is not older than 3 months
- Verification of all the directors signing the application and any shareholder with a holding of 25% or more. For each such individual, we require:
  - A clear copy of your green-barcode identity document
  - Copies of 2 different documents as proof of address (a utility bill or similar document – visit [www.ig.com/za/supporting-documents](http://www.ig.com/za/supporting-documents). Each should show your name, a full residential address that matches the address on the application and be dated within the last 3 months
- Corporate shareholders or Directors
  - A complete list of supporting documentation for each Company as listed above
  - Verification of directors and shareholders with 25% or greater stake as above

**WE MAY CONTACT YOU FOR MORE SUPPORTING DOCUMENTATION**

## COMPANY INFORMATION

### 01 | COMPANY DETAILS

Name of Company: \_\_\_\_\_ Country: \_\_\_\_\_

Registration Number: \_\_\_\_\_ Trading address: \_\_\_\_\_  Same as Registered address

Income Tax Reference Number: \_\_\_\_\_

Registered address: \_\_\_\_\_ Postcode: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_ Where did you hear about us: \_\_\_\_\_

LEI number (if applicable): \_\_\_\_\_

Nature of Business: \_\_\_\_\_

### REGULATION

Is the Company FSB regulated or similar regulator?  Yes  No

If 'Yes' please state the name of the regulator and regulatory number (eg FSP No.): \_\_\_\_\_

### 02 | CONTACT NUMBERS

Telephone (office hours): \_\_\_\_\_ Fax: \_\_\_\_\_

Telephone (out of hours): \_\_\_\_\_ Email: \_\_\_\_\_

### 03 | BANK DETAILS

Name of Bank: \_\_\_\_\_ Account Name: \_\_\_\_\_

Branch Name: \_\_\_\_\_ Account No.: \_\_\_\_\_

Branch Code: \_\_\_\_\_ Approx. funds available to the Company's trading with us: **R** \_\_\_\_\_

## 04 | CONFIRMATION OF TAX RESIDENCE

Please confirm the tax residence(s) of the Corporate below:

4.1. Is the company registered to pay tax in South Africa only?  Yes  No

If 'Yes' then ignore section 4.2 and proceed to section 05.

4.2. Complete the below section if the company is registered in the US, UK Crown Dependencies and Gibraltar.

JURISDICTION	TAX IDENTIFICATION NUMBER (TIN)**	IF TIN UNAVAILABLE, PLEASE SPECIFY REASON

\*\*Please only provide Tax Identification Number(s) where the Corporate is resident in the US, UK Crown Dependencies and Gibraltar.

Is the Company a Financial Institution?  Yes  No

If 'Other', please specify: \_\_\_\_\_

Financial Institution – Financial Institutions include depository and custodial institutions. For further information, please refer to section 2.1 of HMRC guidance <http://www.hmrc.gov.uk/drafts/uk-us-fatca-guidance-notes.pdf>

## 05 | IDENTIFICATION OF DIRECTOR(S)

We will verify a Director's identity before trading can occur on the account.

### SOLE DIRECTOR/DIRECTOR 1:

Full name: \_\_\_\_\_

Residential address: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

### DIRECTOR 2:

Full name: \_\_\_\_\_

Residential address: \_\_\_\_\_

\_\_\_\_\_ Postcode: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

1. Are any Directors currently or previously bankrupt?  Yes  No

If the answer is 'Yes', please give details:

\_\_\_\_\_  
\_\_\_\_\_

## 06 | STATEMENT INSTRUCTIONS

In the absence of other instructions from you, we will send statements by email.

If you would prefer to receive these by post, please tick this box

Please note that postal statements will incur a charge of £5 per statement.

## KNOWLEDGE AND EXPERIENCE

The law requires us to assess whether our services are appropriate for the Company. We will use the information below to make this assessment. If there are any issues, we will contact you to discuss further options.

1. Over the past three years, to what extent has the Company traded the following?

Shares and/or bonds	<input type="checkbox"/> More than 25 times	<input type="checkbox"/> 10 to 25 times	<input type="checkbox"/> Less than 10 times
Exchange-traded derivatives (eg warrants, futures or options)	<input type="checkbox"/> More than 25 times	<input type="checkbox"/> 10 to 25 times	<input type="checkbox"/> Less than 10 times
OTC derivatives (eg CFDs, spread betting, forex, binaries)	<input type="checkbox"/> More than 25 times	<input type="checkbox"/> 10 to 25 times	<input type="checkbox"/> Less than 10 times

2. How has the Company mostly traded these products?  Execution-only and/or Advisory  Managed

3. Do the officer(s) of the Company who will be making the Company's trading decisions have particular experience or qualifications which would assist the Company's understanding of our services?  Yes  No

If 'Yes', please tick as applicable:

Experience: the officer(s) have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: the officer(s) have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or education

### Optional:

4. Please provide us with any further information about the Company's knowledge and experience that will help us assess whether our services are appropriate for the Company:

## DECLARATIONS

- Consent to credit references:** I consent to you making enquiries about my credit record with any credit reference agency and any other party to confirm any or all of the information provided herein by me. I also consent to you providing credit reference agencies with regular updates about the conduct of my accounts, including the failure to meet the agreed terms and conditions. I also agree that the credit reference agencies may, in turn, make my records and details available to other credit grantors. I further consent to you carrying out identity and fraud prevention checks and sharing information through the South African Fraud Prevention Service, relating to this application.
- Understanding the risks:** I confirm that the Company has received the Risk Disclosure Notice and understands the nature and risks of margin trading via CFDs.
- Agreement to your terms and conditions:** I also confirm that the Company agrees to be bound by the Margin Trading Customer Agreement.
- Declaration of truth:** Finally, I confirm that the information provided by me in this form on behalf of the Company is true and correct.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**In the case of a Company two authorised signatories are required as well as a Certified Board Resolution. In the case of a Partnership two of the Partners must sign. In case of a Trust two authorised signatories must sign and a Declaration by the Trustees must be completed.**

SHARENETCFDs IN ASSOCIATION WITH IG MARKETS SOUTH AFRICA LIMITED  
4 Silverwood Close, Steenberg Office Park, Steenberg Boulevard, Steenberg,  
Cape Town, South Africa, PO Box 20584, Tokai, 7966  
E support@sharenet.co.za W sharenetcfds.co.za

IG MARKETS SOUTH AFRICA LIMITED The Place, 1 Sandton Drive, Sandton,  
Gauteng, 2196, South Africa T +27 (0)10 344 0053 F +27 (0)11 467 8501  
E helpdesk.za@ig.com W IG.com



Once you have completed your details, please:

- Print this form
- Sign it
- Return it to us

SharenetCFDs in association with IG Markets South Africa Limited,  
Application to Open a Margin Trading Account (Corporate), August 2014

IG provides execution only services and enters into principal to principal transactions with its clients on IG's prices. Such trades are not on exchange. Whilst IG is a regulated FSP (number 41393), CFDs with IG are not regulated by the FAIS Act and IG does not provide advisory or intermediary services.

SharenetCFDs in association with IG Markets South Africa Limited and IG Markets Limited  
Authorised and regulated by the Financial Services Board (SA) and the Financial Conduct Authority (UK).

# SCHEDULE

## AUTHORISED PERSONS LIST:

Authorised signatories for: \_\_\_\_\_ (name of Company)

as at: \_\_\_\_\_ (date)

NAME	RESIDENTIAL ADDRESS	CODE	DATE OF BIRTH (DD/MM/YYYY)	SIGNATURE